

# BROKER EXAM/LICENSE APPLICATION

RE 436 (Rev. 10/07)

DRE RECEIVED DATE

DRE USE ONLY

## GENERAL INFORMATION

- Apply for the real estate broker examination *and* the real estate broker license at the same time, if you have not scheduled for an on-line exam OR
- Apply for a real estate broker license, if a valid examination application has been filed within the last two years.

**Read enclosed instructions (RE 436A) before completing and submitting this application to ensure that you understand the requirements and that the proper qualifying documentation and exam/license fee are submitted. Note: Fees submitted with this application are not refundable.** If you fail to qualify for or pass the examination within two years of the date this application is filed, the fees may not be applied to any future applications.

EXAM ID

LIC. ID

DRE USE ONLY

EFFECTIVE DATE

EXPIRATION DATE

DATE EXAM PASSED

## APPLICATION IS FOR: (Must check one box.)

- C**  Real estate examination AND license – Complete Parts A, B, C, & D.
- L**  Real estate license ONLY (If already scheduled for examination) – Complete Parts A, C & D.

## FEES REQUIRED

Exam ..... \$ 50  
 License..... \$165  
**Total due to DRE with this application.. \$215**

## PART A — APPLICANT INFORMATION

1. SOCIAL SECURITY NUMBER (REQUIRED – REFER TO PRIVACY NOTICE ON RE 436A.)		2. BIRTH DATE (MM/DD/YYYY)	
3. Have you <b>ever</b> applied for a California real estate exam? ..... <input type="checkbox"/> NO <input type="checkbox"/> YES			
If YES, list examination ID#. (If known.) .....			
4. APPLICANT'S NAME – LAST, FIRST, MIDDLE			
5A. MAILING ADDRESS – STREET ADDRESS OR POST OFFICE BOX			
5B. CITY		5C. STATE	5D. ZIP CODE
6. Do you reside in California? ..... <input type="checkbox"/> NO <input type="checkbox"/> YES			
If NO, an "RE 234" is required.			
7. RESIDENCE PHONE NUMBER (INCLUDE AREA CODE)		8. BUSINESS (DAYTIME) PHONE NUMBER (INCLUDE AREA CODE)	
9. Have you used any other names (i.e., maiden name, former married names, AKA's, etc.)? <input type="checkbox"/> NO <input type="checkbox"/> YES IF YES, LIST ALL NAMES USED.			
10. HEIGHT	11. WEIGHT	12. COLOR OF EYES	13. COLOR OF HAIR
			14. GENDER <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE
15. Do you now hold or have you <b>ever</b> held a California real estate license? ..... <input type="checkbox"/> NO <input type="checkbox"/> YES			
If YES, complete 15A, B, C, and D below.			
If YES to 15, has your California real estate license been previously revoked? ..... <input type="checkbox"/> NO <input type="checkbox"/> YES			
15A. TYPE OF LICENSE	15B. IDENTIFICATION NUMBER	15C. EXPIRATION DATE	15D. NAME ON LICENSE

## PART B — EXAMINATION INFORMATION

1. When you are qualified for the examination would you prefer to use <input type="checkbox"/> YES – Please notify me by mail when I am qualified for the examination. <b>eLicensing</b> to schedule your own examination date? <input type="checkbox"/> NO – Proceed to item 2.	
2. EXAM AREA PREFERENCE (REFER TO DRE.CA.GOV FOR LIST OF OTHER TESTING FACILITIES THAT MAY BE AVAILABLE.) <input type="checkbox"/> SACRAMENTO <input type="checkbox"/> FRESNO <input type="checkbox"/> LOS ANGELES <input type="checkbox"/> OAKLAND <input type="checkbox"/> SAN DIEGO <input type="checkbox"/> OTHER: _____	
3. EARLIEST DATE YOU CAN TAKE EXAM (mm) (dd) (yyyy)	4. REQUEST FOR REASONABLE ACCOMODATION <input type="checkbox"/> I am requesting reasonable accomodation; RE 413 & supporting documents are attached.

## FOR DRE USE ONLY

<input type="checkbox"/> RESTRICTED <input type="checkbox"/> VIOLATIONS	FORM LETTER / NOTES	EXAM PROCESSOR	DATE PROCESSED
	SENDER/DATE SENT	LICENSE PROCESSOR	DATE PROCESSED



NAME OF APPLICANT

**PART C — LICENSE INFORMATION**

1. INITIAL WORKING STATUS (Check one.)

I WILL BE WORKING IN REAL ESTATE FOR WHICH A CALIFORNIA LICENSE IS REQUIRED. ITEMS 2-5 MUST BE COMPLETED.

I WILL **NOT BE** WORKING IN REAL ESTATE FOR WHICH A CALIFORNIA LICENSE IS REQUIRED. **DO NOT COMPLETE ITEMS 2-5; GO TO PART D.**

2. UPON BECOMING LICENSED, WILL YOU, FOR COMPENSATION, MAKE OR ARRANGE (BROKER) LOANS SECURED BY REAL PROPERTY OR SELL EXISTING NOTES SECURED BY DEEDS OF TRUST?.....

NO  YES

(942)

3. MAIN OFFICE ADDRESS (STREET ADDRESS OR PHYSICAL DESCRIPTION — DO NOT LIST P.O. BOXES.)

CITY	STATE <b>CA</b>	ZIP CODE
------	--------------------	----------

4. FICTITIOUS BUSINESS NAME (DBA) — IF USING A FICTITIOUS BUSINESS NAME INCLUDE A FILED COPY OF THE FICTITIOUS BUSINESS NAME STATEMENT OBTAINED FROM THE COUNTY CLERK FOR EACH NAME. REFER TO RE 436A FOR ADDITIONAL INFORMATION. (Check one.)

I WILL **NOT** USE A DBA AT THIS TIME. PROCEED TO PART D.

I WILL USE A DBA → DBA I WILL BE USING: \_\_\_\_\_

ADDITIONAL DBA → OTHER DBA I WILL BE USING: \_\_\_\_\_

**PART D — BACKGROUND INFORMATION**

Carefully read and provide detailed answers to questions 1-4. You must provide a "yes" or "no" response to all questions, and also completely and accurately provide the detailed information required in item 5 below. Attach additional sheets if you need more space. Each sheet must be signed by the applicant. Failure to disclose pertinent information may result in denial of your license application and/or delays.

1. HAVE YOU EVER BEEN CONVICTED OF A MISDEMEANOR OR FELONY? **CONVICTIONS EXPUNGED UNDER PENAL CODE SECTION 1203.4 MUST BE DISCLOSED. HOWEVER, YOU MAY OMIT TRAFFIC CITATIONS WHICH DO NOT CONSTITUTE A MISDEMEANOR OR FELONY. IF YES, COMPLETE ITEM 5** .....

YES  NO

2. ARE THERE CRIMINAL CHARGES PENDING AGAINST YOU AT THIS TIME? **IF YES, COMPLETE ITEM 5**.....

YES  NO

3. HAVE YOU EVER HAD A DENIED, SUSPENDED, RESTRICTED OR REVOKED BUSINESS OR PROFESSIONAL LICENSE (INCLUDING REAL ESTATE), IN CALIFORNIA OR ANY OTHER STATE? **IF YES, COMPLETE ITEM 6** .....

YES  NO

4. ARE THERE ANY LICENSE DISCIPLINARY ACTIONS PENDING AGAINST A BUSINESS OR PROFESSIONAL LICENSE YOU HOLD AT THIS TIME? ..... **IF YES, COMPLETE ITEM 6.**

YES  NO

**CONVICTION DETAILS**

"Convicted" as used in Item 1 includes a verdict of guilty by judge or jury, a plea of guilty, a plea of nolo contendere (i.e., "no contest"), or a forfeiture of bail in the courts (including military courts) of any state, commonwealth, possession or country. All convictions must be disclosed, no matter how long ago they occurred, even if the plea or verdict was set aside, the conviction dismissed or expunged, or you have been pardoned. Convictions occurring while you were a minor (under 18 years of age) must be disclosed unless the record of the conviction has been sealed under Section 1203.45 of the California Penal Code or Section 781 of the California Welfare and Institutions Code.

5. **DETAILED EXPLANATION OF ITEM 1 AND/OR 2.**

COMPLETE ONE LINE FOR EACH VIOLATION AND PROVIDE EXPLANATION BELOW. IF YOU ARE UNABLE TO PROVIDE THIS INFORMATION, PROVIDE ALL THE REQUESTED INFORMATION YOU CAN OBTAIN, WITH AN EXPLANATION FOR THE MISSING INFORMATION. IF THE CONVICTION STATUS HAS BEEN SUBSEQUENTLY CHANGED OR REVOKED, NOTE THAT FACT IN THE AREA PROVIDED FOR ADDITIONAL INFORMATION.

ATTACHMENTS FOR ADDITIONAL INFORMATION: SPECIFY WHICH LINES YOU ARE REFERRING TO. **EACH ADDITIONAL SHEET MUST BE SIGNED BY APPLICANT.**

\* CODE SECTION VIOLATED (i.e., 1014, 484, ETC.)

\*\* CODE VIOLATED (i.e., U.S. CODE, PENAL CODE, ETC.)

\*\*\* DISPOSITION (i.e., PROBATION, PAROLE, FINE, LENGTH OF TERM, etc.)

COURT OF CONVICTION (Name and Address)	ARRESTING AGENCY (Name and Address)	DATE OF CONVICTION	TYPE OF CONVICTION	* CODE SECTION VIOLATED	** CODE VIOLATED	*** DISPOSITION	CASE NUMBER
<b>Example:</b> Sacramento Cnty. 456 Main St., Sac	Sacramento City Police 123 Main St., Sac	2/20/87	<input type="checkbox"/> Felony <input checked="" type="checkbox"/> Misdemeanor	484	Penal Code	6 months probation and \$200 fine	1234
5A.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5B.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5C.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				
5D.			<input type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor				

6. **DETAILED EXPLANATION OF ITEM 3 AND/OR 4. IF NEEDED, EXPLANATION MAY BE PROVIDED BELOW.**

6A. TYPE OF LICENSE	6B. LICENSE ID NO.	6C. LICENSE EXPIRATION DATE	6D. STATE
6E. ACTION (revoked, etc.)	6F. DATE OF ACTION	6G. DATE ACTION TERMINATED	6H. CODE SECTION VIOLATED

